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PATENTS
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION

Applicants : Timothy M. Keiser et al.

Application No. : 10/655,409 Confirmation No. : 6575

Filed : September 4, 2003

For : COMPUTER-IMPLEMENTED SECURITIES TRADING
SYSTEM WITH A VIRTUAL SPECIALIST
FUNCTION

Group Art Unit : 3628

Examiner : Clement B. Graham

New York, New York 10020
June 15, 2006

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith: [] a Preliminary Amendment;
[X] a Reply to Office Action; [X] Substitute Specification (in
Appendices A and B); [] a Power of Attorney; [] an Associate
Power of Attorney; [] formal drawings; to be filed in the
above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

[X] A fee for additional claims is not required.
[] A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	67	-	77	* = 0 X \$ 50 = \$ 0.00
INDEPENDENT CLAIMS	3	-	3	** = 0 X \$200 = \$ 0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+ \$360 = \$ 0.00

* If less than 20, insert 20.

TOTAL \$ 0.00

** If less than 3, insert 3.

A check in the amount of \$ _____ in payment of the filing fee is transmitted herewith.

The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075, Order No. 000741-0096. A duplicate copy of this transmittal letter is transmitted herewith.

Please charge \$ _____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

The following extension is applicable to the Response filed herewith; \$120.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a);

[] \$450.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [] \$1,020.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [] \$1,590.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); [] \$2,160.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).

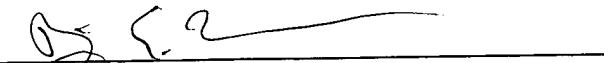
[X] Pursuant to 37 C.F.R. § 1.136(a), applicants hereby petition for a [X] one-month extension of time; [] two-month extension of time; [] three-month extension of time; [] four-month extension of time; [] five-month extension of time to respond to the Office Action dated February 15, 2006. With the extension, the time for replying is extended up to and including June 15, 2006.

[] A check in the amount of [] \$120.00; [] \$450.00; [] \$1,020.00; [] \$1,590.00; [] \$2,160.00 in payment of the extension fee is transmitted herewith.

[X] Please charge the [X] \$120.00; [] \$450.00; [] \$1,020.00; [] \$1,590.00; [] \$2,160.00 extension fee to Deposit Account No. 06-1075, Order No. 000741-0096. A duplicate copy of this transmittal letter is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075, Order No. 000741-0096. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,



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